

PTO-1390 OIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 4105-55
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/537,999</b> <small>known</small>
INTERNATIONAL APPLICATION NO. PCT/JP2003/015624	INTERNATIONAL FILING DATE 5 December 2003	PRIORITY DATE CLAIMED 12 December 2002
<b>TITLE OF INVENTION</b> <b>INFORMATION RECORDING/READING HEAD, AND INFORMATION RECORDING/REPRODUCING APPARATUS</b>		
APPLICANT(S) FOR DO/EO/US <b>MAEDA et al.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The U.S. has been elected (Article 31).</p> <p>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto (      pages specification, claims &amp; abstract (      claims),      sheets drawings).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(3))</p> <p>a. <input checked="" type="checkbox"/> is attached hereto (90 pages specification, claims &amp; abstract (21 claims), 15 sheets drawings).</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. a. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>b. <input type="checkbox"/> Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (      page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<p><b>Items 11 To 20 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. a. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>b. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 C.F.R. § 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information. Form PCT/IB/308, International Publication No. WO 2004/053862 A1-coversheet</p>		

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)  
10/537999INTERNATIONAL APPLICATION NO.  
PCT/JP2003/015624ATTORNEY'S DOCKET NUMBER  
4105-5521.  The following fees are submitted:**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

<input checked="" type="checkbox"/> a) Basic national fee .....	\$300.00 (1631)/\$150.00 (2631)
<input checked="" type="checkbox"/> b) Examination Fee .....	\$200.00 (1633)/\$100.00 (2633)
<input checked="" type="checkbox"/> c) Search Fee .....	\$500.00 (1632)/\$250.00 (2632)

<b>TOTAL OF ABOVE CALCULATIONS .....</b>	<b>\$1000.00/\$500.00</b>
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<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.	
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Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	
105 -100	5	/50 = 0.10	\$250.00 (1681) \$125.00 (2681)	\$ 250.00

Surcharge of **\$130.00 (1617)/\$65.00 (2617)** for furnishing the oath or declaration later than  30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

CLAIMS	NUMBER FILED	# EXTRA	RATE	
Total Claims	21	minus 20 =	\$50.00 (1615)/ \$25.00 (2615)	\$ 50.00
Independent Claims	13	minus 3 =	10 X \$200.00 (1614)	\$ 2,000.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			\$360.00 (1616)/\$180.00 (2616)	\$ 0.00

Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$450.00 (1252)/\$225.00 (2252); Three Month Extensions \$1020.00 (1253)/\$510.00 (2253); Four Month Extensions \$1590.00 (1254)/\$795.00 (2254)

Applicant claims small entity status. See 37 CFR 1.27.

Processing fee of **\$130.00 (1618)**, for furnishing the English Translation later than  20  30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).

<b>TOTAL NATIONAL FEE =</b>	<b>\$ 3430.00</b>	
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Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). **\$40.00 (8021)** per property +

Fee for Petition to Revive Unintentionally Abandoned Application; \$1500.00 (1453) / \$750.00 (2453)	\$ 0.00	
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<b>TOTAL FEES ENCLOSED =</b>	<b>\$ 3430.00</b>	
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Amount to be refunded	\$
Charged	\$

- A check in the amount of \$3430.00 to cover the above fees is enclosed.
- Please charge my Deposit Account No. 14-1140 in the amount of \$\_\_\_\_\_ to cover the above fees.
- A duplicate copy of this form is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.
- CREDIT CARD PAYMENT FORM ATTACHED.**
- The entire content of International Application No. PCT/JP2003/015624 and any U.S. and foreign application(s) corresponding thereto, and JP 2002-361180, referred to in this application is/are hereby incorporated by reference in this application.

**NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status.**

**CORRESPONDENCE ADDRESS**

Direct all correspondence to:

Customer Number:

**23117**

Type Customer Number here



Telephone: (703) 816-4000  
ARC:alb

Arthur R. Crawford  
NAME

25,327	June 9, 2005
REGISTRATION NUMBER	Date